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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

Galdes, et al.

Serial No: 09/325,602

Filed: June 3, 1999

For: Methods and Compositions for
Treating Disorders Involving
Excitotoxicity

Attorney Docket No. CIBT-P02-069

Art Unit: 1646

Examiner: M. Brannock

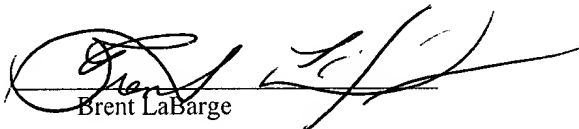
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CERTIFICATE OF MAILING UNDER 37 C.F.R. §1.8(a)

I hereby certify that this correspondence is being deposited with the United States Postal Service as First Class Mail, postage prepaid, in an envelope addressed to: Commissioner for Patents Washington, D.C. 20231 on the date indicated below:

November 26, 2002

Date of Signature
and of Mail Deposit


Brent LaBarge

Commissioner for Patents
Washington, D.C. 20231

NOTIFICATION OF LOSS OF SMALL ENTITY STATUS AND PAYMENT OF FEES

UNDER 37 CFR 1.28

Sir:

Applicants assert herewith that, at the time of filing, status as a small entity was established in good faith and fees as a small entity were paid in good faith for the above-referenced patent application. However, Applicants lost entitlement to small entity status on July 18, 2001, and, through error, the Office was not notified of a loss of entitlement to small entity status as required by § 1.27(g)(2). Accordingly, Applicants submit herewith payment of fees which were mistakenly paid at the small entity rate on and after July 18, 2001, for the above-referenced patent application. The fees paid and the respective discrepancies due are itemized hereinbelow in compliance with 37 CFR 1.28(c)(2).

Adjustment date: 12/03/2002 SLUANG1
03/21/2002 SMINASS1 00000040 181945 09325602
01 FC:216 460.00 CR

12/03/2002 SLUANG1 00000008 181945 09325602
01 FC:1253 920.00 CH

Fee Description	Date Authorized	Current Fee	Fee Paid	Balance Due
Petition for Three-Month Extension of Time	March 4, 2002	920.00	460.00	460.00
Total Due: 460.00				

Although Applicants believe no additional fees are due, the Commissioner is hereby authorized to charge any deficiency in the fees filed, asserted to be filed or which should have been filed herewith (or with any paper hereafter filed in this application by this firm) to our Deposit Account No. 18-1945, under Order No. CIBT-P02-069. A duplicate copy of this paper is enclosed. Please direct any questions arising from this submission to the undersigned at (617) 951-7615.

Date: November 26, 2002

Customer No: 28120
Docketing Specialist
Ropes & Gray
One International Place
Boston, MA 02110
Phone: 617-951-7615
Fax: 617-951-7050

Respectfully Submitted,



David P. Halstead
Reg. No: 44,735

INITIAL SCREENING OF INCOMING PAPERS CHECKLIST

Reviewer: R ChaseDate: 12-4-02APPLICATION NO. 09/325602

1. PETITION TYPE CODE

☐ R137(a) Petition-----501
☐ R137(a) Petition-----509
 (Issue Fee/Dwgs)
☐ R137(b) Petition-----502
☐ R137(b) Petition-----510
 (Issue Fee/Dwgs)
☐ R137(f) Petition-----536
☐ R182 Petition-----519
☐ R183 Petition-----503
☐ R378(b) Petition-----532
☐ R378(c) Petition-----533
☐ R377 Petition-----521
☐ R3.81(b) Petition-----523
☐ R181 Petition-----515
☐ R181 Petition-----504

PETITION TYPE CODE

☒ R28c Peition-----~~309~~ **321**
☐ R47 Petition-----313
☐ R53(e) Petition-----408
☐ R53 (R62 filing date)----410
☐ R10 Petition-----411
☐ Lost Application-----412
☐ R78(a)(3) Petition-----535
☐ R78(a)(6) Petition-----535
☐ R55(c) Petition-----535
☐ R314 Petition-----508
☐ R55(a) Petition-----507
☐ Pet. W/D Abn-----525
☐ R705(b) PTA-Bef iss-----550
☐ R705(d) PTA-Aft iss-----551
☐ R705(c)PTA-SpiteDueCare-552
☐ Other_____

2. LIST PAPERS FILED WITH PETITIONS

<input type="checkbox"/> PreAmdt/Amdt	<input type="checkbox"/> CPA	<input type="checkbox"/> Associate POA
<input type="checkbox"/> Filing Fees	<input type="checkbox"/> RCE	<input type="checkbox"/> Terminal Disclaimer
<input type="checkbox"/> Reply/Arguments	<input type="checkbox"/> IDS	<input type="checkbox"/> Change of Address
<input type="checkbox"/> Election	<input type="checkbox"/> 129(a) Submsn	<input type="checkbox"/> Revocation/Poa
<input type="checkbox"/> Notice of Appeal	<input type="checkbox"/> Issue Fee	<input type="checkbox"/> Priority Documents
<input type="checkbox"/> Brief (3)	<input type="checkbox"/> Drawings	<input type="checkbox"/> Oath/Decl. & POA
<input type="checkbox"/> Reply Brief	<input type="checkbox"/> Rule 312 Amdt	<input type="checkbox"/> Rescind Non-Pub Req.
<input type="checkbox"/> Declaration R132	<input type="checkbox"/> Ext Time ()	<input type="checkbox"/> Statement 3.73(b)

Other Papers _____

3. Is paper a petition to withdraw holding of abandonment: yes no
 If so, send paper and/or file to appropriate location (*Note: remove any flag set first*):

- a. Nonreceipt of action from TC or assertion that reply was timely filed:
 Send paper to TC _____
 b. Nonreceipt of Missing Parts Notice or assertion that reply was timely filed:
 Send paper to DIRECTOR -OIPE - -CP2-7D25 (PH: 308-0910)
 c. Assertion of timely payment of issue fee and/or submission of drawings:
 Send petition to Office of Publications: ATTN: Tom Hawkins
 d. Other _____

4. Other: _____

If not handled in Office of Petitions, send paper to appropriate location.

5. Is petition accompanied by assignment papers, fee address, or other paper which needs to be sent to another location? yes no If so, make copy of assignment papers, fee address, or other paper; mail original to proper location and place copy in file with an indication that the original paper(s) has been forwarded to the appropriate location (Assignment Branch; Maintenance Fee Division, etc.)

Transaction List

Search by

☐ Accounting Date: Operator ID:
(MMDDYY)



☒ Name / Number: Starts: Ends:
☐ Attorney Docket N:
☐ Deposit Account No.:

Accounting Date	Operator ID	Seq. No.	Txn Src	Fee Code	St	Amount	Name/Number	Dep Acct
12/03/2002	SLUANG1	9	ADJ	2252	A	-460.00	09325602	181945
03/21/2002	SMINASS1	51	SALE	216	R	460.00	09325602	181945
03/21/2002	SMINASS1	50	ADJ	216	A	-46.00	09325602	181945
03/20/2002	SMINASS1	56	SALE	216	R	46.00	09325602	181945
06/18/2001	BNGUYEN1	48	SALE	215	A	55.00	09325602	181945
12/07/2000	WKORDMA	114	SALE	116	A	390.00	09325602	190131
11/05/1999	DNGUYEN	260	SALE	581	A	40.00	09325602	
11/05/1999	DNGUYEN	259	SALE	581	A	40.00	09325602	
10/05/1999	NPRASASO	137	SALE	103	A	954.00	09325602	
10/05/1999	NPRASASO	136	SALE	102	A	624.00	09325602	
10/05/1999	NPRASASO	135	SALE	105	A	130.00	09325602	



Adjustment Detail

Authorization Detail



1.28C